

COMPLAINTS PROCEDURE FOR CLIENTS

We, Exclusive Markets Ltd (hereinafter, the "Company"), have adopted this Complaints Procedure in order to ensure a fair and quick process for handling complaints that may arise from our relationship.

The Company owns and operates the brand name **Exclusive Markets.** Exclusive Markets Ltd is authorized and regulated by the Seychelles Financial Services Authority. Exclusive Markets Ltd is registered in Seychelles, with registration number: 8423950-1 and has its registered address at Room 12, 1st Floor, Kingsgate House, Independence Avenue, Victoria, Mahé, Seychelles.

1. Submitting your Complaint

In order to submit a complaint to the Company, you are kindly requested to complete and submit the **Complaint Form** of the Company (click the button below) to <u>complaints@exclusivemarkets.com</u> Please note that the Company may not accept complaints submitted to it by any other mean/method (i.e. Fax, telephone, etc.).

Download the Complaint Form

Once you successfully complete and submit your complaint, the Compliance Department of the Company shall handle and investigate your complaint.

2. Acknowledging your Complaint

We will acknowledge receipt of your complaint within five (5) days from the receipt of your complaint and provide you the <u>unique reference number</u> of your complaint. The unique reference number should be used in all your future contact with the Company and Seychelles FSA regarding the specific complaint.

3. Handling of your Complaint

Once we acknowledge receipt of your complaint, we will review it carefully, investigate the circumstances surrounding your complaint and will try to resolve it without undue delay. We shall make every effort to investigate your complaint and provide you with the outcome of our investigation within two (2) months from the date you have submitted your complaint to us. During the investigation process will keep you updated of the handling process of your complaint. One of our officers may contact you directly (including communication by email or phone) in order to obtain, where needed, further clarifications and information relating to your complaint. We will require your full cooperation in order to expedite the investigation and possible resolution of your complaint.



In the event that your complaint requires further investigation and we cannot resolve it within two (2) months, we will issue a holding response in writing or another durable medium. When a holding response is sent, it will indicate the causes of the delay and when the Company's investigation is likely to be completed. In any event, we shall provide you with the outcome of our investigation no later than one (1) month from the issuing of the holding response, depending on the complexity of the case and your cooperation. Please note that the Company shall consider your complaint as closed and cease the relevant investigation in case you fail to respond to our officers within the period of three (3) months from the date of the submission of your complaint. When we reach an outcome, we will inform you of it together with an explanation of our position and any remedy measures we intend to take (if applicable).

4. Final Decision

When we reach an outcome, we will inform you of it together with an explanation of our position and any remedy measures we intend to take (if applicable).

If you are not satisfied with the Company's final decision or in the unlikely event that the Company was unable to provide you with a final response within the three (3) month time period specified above you may submit your complaint to the Seychelles Financial Services Authority and seek mediation for possible compensation.

1. Contact Details of Seychelles Financial Services Authority:

Website: www.fsaseychelles.sc

General email: enquiries@fsasevchelles.sc

Postal Address: P.O. BOX 991, Bois de Rose Avenue, Roche Caiman Victoria Mahe Republic of

Seychelles

Telephone: (+248) 438 08 00

Fax: (+248) 438 08 88

It is understood that your right to take legal action remains unaffected by the existence or use of any complaints procedures referred to above.